

P.N. - 4
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Section #
PATENT
94604-250152

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
MICHAEL B. LIEBESKIND, et al.

Serial No.: 09/995,852

Filed: November 28, 2001

For: INSURANCE RISK, PRICE, AND ENROLLMENT
OPTIMIZER SYSTEM AND METHOD

Art Unit: 3712

Examiner: Not yet assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington D.C. 20231, on February 8, 2002.

By:

Keyvan Davoudian
Keyvan Davoudian, Reg. No. 47,520

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated December 13, 2001, response to which is due February 13, 2002, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ An "Assignment" document executed by the inventor(s), along with an Assignment Recordation Cover Sheet and a check in the amount of \$40.00.
- ☒ A check in the amount of \$370.00 to cover the filing fee.
- ☒ A check in the amount of \$558.00 to cover the 62 additional claims in excess of twenty.

X A check in the amount of \$140.00 to cover the fee for multiple dependent claims.

X A check in the amount of \$65.00 to cover the surcharge for late Declaration.

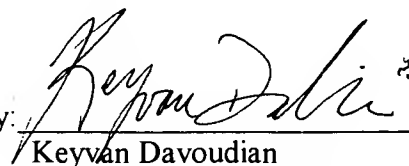
The Commissioner is hereby authorized to charge any deficiency in payment or credit any overpayment in connection with this matter to our Deposit Account No. 03-3975. A copy of this letter is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: February 8, 2002

By:



Keyvan Davoudian
Registration No. 47,520
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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,852	11/28/2001	Michael B. Liebeskind	94604-250152

CONFIRMATION NO. 9630

FORMALITIES LETTER



OC000000007188576

PILLSBURY WINTHROP LLP
Suite 2800
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Los Angeles, CA 90017-5406

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APR 23 2002

Technology Center 2100

Date Mailed: 12/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

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Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1396.
 - \$1116 for 62 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2266.

A copy of this notice **MUST** be returned with the reply.

SEP 17 2002
GROUP 3600.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE